

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Unno et al.

Group Art Unit: TBA

Serial No.: 10/684,294

Examiner: TBA

Filed: October 10, 2003

For: OPTICAL ELEMENT AND MANUFACTURING METHOD THERFOR

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are attached

☐ were filed in related application U.S. Serial No(s) _____, filed _____, respectively.

- ☐ This document is being filed within three (3) months of the filing date of the application
- ☐ A check for the requisite fee of \$180 is enclosed.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☒ This document is being filed prior to a first Office Action
- ☐ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4756US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: May 3, 2004

By: _____

Sungho Hong
Registration No. 54,571

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



Docket No. 1232-4756US1

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MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Sir:

I hereby certify that the attached:

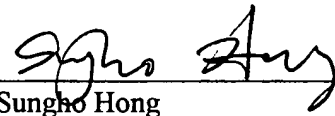
1. Information Disclosure Statement;
2. Form PTO-1449;
3. Three (3) cited references on the PTO-1449; and
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along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: May 3, 2004

By: _____


Sungho Hong

Registration No. 54,571

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Attorney Docket:
1232-4756US1Serial No.:
10/684,294Applicant:
Unno et al.Filing Date:
October 10, 2003Group Art Unit:
TBA

U.S. PATENT DOCUMENTS

| Examiner Initial | Patent Number | Issue Date | Name | Class | Sub-Class | Filing Date |
|------------------|---------------|------------|--------------|-------|-----------|-------------|
| | 5,867,315 | 02/02/1999 | Koike et al. | | | 07/30/1996 |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial | Patent Number | Publication Date | Country | Class | Sub-Class | Translation |
|------------------|---------------|------------------|---------|-------|-----------|---|
| | EP0942297A2 | 09/15/1999 | EP | | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

OTHER DOCUMENTS (Including Author, Title, Date, etc.)

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| | Communication dated 04/06/2004 for Application No. 02 736 104.7 - 2217 |
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| | |

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.
Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to Applicant.